

Transfer Form

Please use this form if you are an existing investor in a Yarra Fund (Fund) and wish to transfer units to another investor.

GUIDE TO COMPLETING THIS APPLICATION FORM

Complete all sections in CAPITAL LETTERS, using a black pen. If you make an error while completing this form, do not use correction fluid. Cross out your mistakes and initial your changes.

1. Instructions if you are transferring units to an existing investor.

If you are transferring units to an existing investor, the following needs to be completed:

- enter your investor number and investor name in Section 2, as they appear on your latest periodic or transaction statement
- enter the transferee's investor number and investor name in Section 3, as they appear on their latest periodic statement or transaction statement
- both you and the transferee need to sign this form as per the 'Signing instructions' in Section 5.

2. Instructions if you are transferring units to a new investor.

If you are transferring units to a new investor, you need to complete the following:

- enter your investor number and investor name as they appear on your latest periodic or transaction statement
- sign this form as per the 'Signing instructions' in Section 5.

The transferee needs to:

- enter their name in Section 4 and sign the form as per the 'Signing instructions' in Section 5
- complete the relevant Fund's application form
- complete the relevant customer identification form available at www.yarracm.com/forms, or on request from Investor Services
- arrange for copies of their identification documents to be certified.

3. Send the documents to us.

Before you submit your transfer form, please check that:

- both you and the transferee have signed the transfer form
- if applicable, an application form along with the relevant customer identification form and certified identification documents are included.
- send to Yarra Funds Management Limited Investor Services team, GPO Box 764, Melbourne VIC, 3001

Should you need to speak to our Investor Services team please call 1800 034 494 (Australia) or +61 3 9002 1980 (Overseas).

1 Transfer Details

Please indicate which Fund(s) your transfer relates to and the number of units to be transferred. If you wish to transfer your entire unitholding write 'Balance' next to the applicable Fund(s).

Please note, a minimum investment balance applies to each Fund. Please refer to each Fund's Product Disclosure Statement available at www.yarracm.com/pdsupdates or on request from Investor Services.

Name of Fund	Number of units
Yarra Australian Bond Fund	.
Yarra Australian Equities Fund	.
Yarra Australian Equity Income Fund	.
Yarra Australian Share Fund	.
Yarra Australian Smaller Companies Fund	.
Yarra Emerging Leaders Fund	.
Yarra Emerging Leaders Fund (Direct)*	.
Yarra Enhanced Income Fund	.
Yarra Enhanced Income Fund (Direct)*	.
Yarra Ex-20 Australian Equities Fund	.
Yarra Global Share Fund	.
Yarra Global Small Companies Fund	.
Yarra Growth Fund	.
Yarra Higher Income Fund	.
Yarra Income Plus Fund	.
Yarra Leaders Fund*	.
ARK Global Disruptive Innovation Fund	.

* These funds are no longer available for new investment.

2

Transferor/seller details

Please enter your investor details.

Investor number)

Investor(s) name(s)

Email address)

Mobile
No.

3

Transferee/buyer – existing investors only

If you are transferring units to an existing investor, please complete the transferee's investor details below

Investor number)

Investor(s) name(s)

Email address)

Mobile
No.

4

Transferee/buyer – new investors

If you are transferring units to a new investor, please complete the transferee's details on the next page. The following forms also need to be completed by the transferee.

- application form
- customer identification form

Forms are available at www.yarracm.com/forms or on request from Investor Services

New investor details

Investor 1

Title

Full given
names

Surname

OR Company
/ Trust /
Superannuation
fund name

Investor 2 (for joint investors, if applicable)

Title

Full given
names

Surname

5

Signatures

Signing instructions

Individual – where the investment is in one name, the investor must sign.

Joint Holding – where the investment is in more than one name, all investors must sign, unless previously nominated otherwise.

Company – two directors or a director and a company secretary must sign, unless you are a sole director and sole company secretary. Please indicate the capacity in which the form is signed.

Trust – the trustee(s) must sign. Trustees signing on behalf of a trust confirm that the trustee(s) is/are acting in accordance with such designated powers and authority under the applicable trust deed.

Power of Attorney – if you have not already lodged the Power of Attorney with us, please attach a certified copy of the Power of Attorney. If signing under a Power of Attorney you verify that the Power of Attorney has not been rescinded or revoked.

By signing this form, I/we:

- authorise YIML to act in accordance with the instructions on this form
- acknowledge that the instructions on this form supersede, and have priority over, all previous instructions provided by me/us, and
- agree to indemnify YIML from and against all losses, costs, expenses, claims, actions or proceedings brought against YIML in connection with following my/our instructions on this form.

5

Signatures (cont.)

(cont) Transferor/seller

Signature of Investor 1 / Director / Authorised Signatory

Signature of Investor 2 / Director / Company Secretary / Authorised Signatory

Please print full name

Please print full name

Date signed
(dd/mm/yy)

Date signed
(dd/mm/yy)

Company officer (please indicate company capacity)

Company officer (please indicate company capacity)

Director

Director

Sole Director and Company Secretary

Company Secretary

Authorised Signatory

Authorised Signatory

Transferee/buyer

Signature of Investor 1 / Director / Authorised Signatory

Signature of Investor 2 / Director / Company Secretary / Authorised Signatory

Please print full name

Please print full name

Date signed
(dd/mm/yy)

Date signed
(dd/mm/yy)

Company officer (please indicate company capacity)

Company officer (please indicate company capacity)

Director

Director

Sole Director and Company Secretary

Company Secretary

Authorised Signatory

Authorised Signatory