



YARRA
CAPITAL MANAGEMENT

5 October 2021

New legislation has been passed such that new internal dispute resolution requirements will apply to complaints received on or after 5 October 2021. For example, in general terms, we will be required to provide a final response to a complainant within 30 calendar days.

Yarra Funds Management Limited and Yarra Investment Management Limited have updated the complaints resolution disclosure for the following funds, for which it is responsible entity:

- 1) Nikko AM ARK Global Disruptive Innovation Fund
- 2) Nikko AM Global Share Fund
- 3) Nikko AM New Asia Fund
- 4) Yarra Australian Bond Fund
- 5) Yarra Balanced Fund
- 6) Yarra Conservative Fund
- 7) Yarra Growth Fund
- 8) Yarra Absolute Credit Fund
- 9) Yarra Australian Equities Fund
- 10) Yarra Australian Real Assets Securities Fund
- 11) Yarra Emerging Leaders Fund
- 12) Yarra Enhanced Income Fund
- 13) Yarra Ex-20 Australian Equities Fund
- 14) Yarra Global Small Companies Fund
- 15) Yarra Income Plus Fund

From 5 October 2021, this notice updates the existing text in:

- For funds 1) to 7) above: section 8 (How to apply) of the Product Disclosure Statement to replace the third sentence under 'Complaints resolution' with '*If an issue has not been resolved to your satisfaction within 30 days, you can lodge a complaint with the Australian Financial Complaints Authority (AFCA).*'
- For fund 8) above: section 9.9 (Complaints resolution) of the Product Disclosure Statement to replace the second sentence under 'Complaints resolution' with '*We will respond to any complaints within 30 days of receipt.*'
- For funds 9) to 15): section 8 (How to apply) of the Product Disclosure Statement to replace the second sentence under 'Complaints resolution' with '*We will respond to any complaints within 30 days of receipt.*'

This notice should be read in conjunction with the relevant PDSs on our website.